IN THE UNITED STATESPACENT AND TRADEMARK OFFICE

In re Patent Application of: (MA) 13 7000	)	
Kazuichiroh ITONAGA	)	Examiner: Tuan N. Quach
Serial No. 09/966,741	)	Group Art Unit: 2814
Filed: October 1, 2001	)	•
For: SEMICONDUCTOR DEVICE AND METHOD FOR MANUFACTURING THE SAME	)	Confirmation No. 3606

## INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure as set forth in 37 C.F.R. §1.56, Applicants hereby submit the following information in conformance with 37 C.F.R. §§ 1.97 and 1.98. Pursuant to 37 C.F.R. § 1.98, a copy of each of the documents cited is enclosed.

The undersigned certifies that either (1) each item of information contained in this information disclosure statement was first cited in a communication from a foreign patent office in connection with a counterpart foreign application not more than three (3) months prior to the filing of this statement, or (2) no item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application and to my knowledge after making reasonable inquiry, was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of this statement.

Enclosed is a copy of a Notice of Reasons of Rejection dated February 17, 2004, together with the references cited therein.

It is requested that the accompanying PTO-1449 be considered and made of record in the above-identified application. To assist the Examiner, the documents are listed on the attached form PTO-1449. It is respectfully requested that an Examiner initialed copy of this form be returned to the undersigned.

The Commissioner is hereby authorized to charge any fees connected with this filing which may be required now, or credit any overpayment to Deposit Account No. 19-2380. (740819-658)

Respectfully submitted,

3y: \_\_**\_** 

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Substitute for form 1449A/PTO

Sheet

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Complete if Known			
Application Number	09/966,741		
Filing DateOctober 1, 2001			
First Named Inventor	Kazuichiroh ITONAGA		
Art Unit	2814		
Examiner Name	Tuan N. Quach		
Attorney Docket Number	740819-658		

U.S. PATENT DOCUMENTS					
Examiner Cite Initials No. 1		U.S. Patent Document  Number - Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		US-5,665,646	09-09-1997	Kitano	
		US-6,008,111	12-28-1999	Fushida et al.	
		US-			

			FOREIGN	PATENT DOCUM	ENTS		
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document  Country Code <sup>3</sup> Number <sup>4</sup>	Publication Date MM-DD-YYYY	Name of Patentee or Application of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T⁵	
		JP 06-112158	04-22-1994	Nishiyama		Partial Eng. Translation and Abstract	:
		JP 09-251967	09-22-1997	Fujitsu et al.		Abstract	
		JP 10-012568	01-16-1998	Oyu et al.		Partial Eng. Translation and Abstract	
		JP 09-139360	05-27-1997	Lim et al.		Abstract	
		JP 10-229052	08-25-1998	Abe et al.		Partial Eng. Translation and Abstract	t .
		JP 07-245277	09-19-1995	Kitano		Abstract	
		JP 10-256188	09-25-1998	Sumi		Partial Eng. Translation and Abstract	:
		JP 11-026762	01-29-1999	Fukada et al.		Partial Eng. Translation and Abstract	:
		JP 11-040804	02-12-1999	Fukada et al.		Partial Eng. Translation and Abstract	:
		JP 2000-196076	07-14-2000	Kobayashi		Partial Eng. Translation and Abstract	1
		ОТНЕ	R PRIOR ART – NO	N PATENT LITERAT	TURE DOCUM	ENTS	
Examiner Initials*	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.)., date, page(s), volume-issue number(s), publisher, city and/or country where published.					
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Examiner	 Date	
Signature	Considered	

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>&</sup>lt;sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at <a href="www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

<sup>&</sup>lt;sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.